

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Vingnia 22313-450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/748,688

12/31/2003

J. Theodore Cherry

066479.0114

Date Mailed: 05/06/2004

**CONFIRMATION NO. 1982** 

**FORMALITIES LETTER** 

\*OC000000012552168\*

24735 BAKER BOTTS LLP C/O INTELLECTUAL PROPERTY DEPARTMENT THE WARNER, SUITE 1300 1299 PENNSYLVANIA AVE, NW WASHINGTON, DC 20004-2400



# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$180 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1080 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

08/09/2004 JBALINAN 00000038 10748688

01 FC:2001 02 FC:2051 385.00 OP 65.00 DP

- Total additional claim fee(s) for this application is \$180
  - \$180 for 10 total claims over 20.

Replies should be mailed to: Mail Sto

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (70%) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0 6 2004 SS	•					
FEE TO A NOMITTAL	Complete If Known					
FEE TRANSMITTAL	Application N	10/748,68	10/748,688			
I LE ITANSIMITAL	Filing Date		Decembe	December 31, 2003		
TARALL CTOD MICCINIC DADTCI	First Named Inventor		J. Theodore Cherry			
[MAIL STOP MISSING PARTS]	Examiner Na	ame	To be assigned			
	Group Art Ur	nit	2632	2632		
Total Amount Of Payment (\$) 505.00	Attorney Doc	066479.0	066479.0114			
METHOD OF PAYMENT (check one)	<del> </del>	<del></del>	ATION (cont	inued)		
1.  The Commissioner is hereby authorized to	3. ADDITIONAL FEES					
charge indicated fees and credit any over	Fee Description	1		Fee Paid		
payments to <b>Deposit Account No. 02-0375</b> in the name of Baker Botts L.L.P.	Surcharge     Surchar	·			65.00	
	☐ Surcharge cover she	\$				
Charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 to <b>Deposit Account</b>		n for reply with	1 month	\$	55.00	
No. 02-0375.	□ Notice of	\$				
	☐ Filing Brie	\$				
2. X Check Enclosed. The Commissioner is	1	for Oral Hearing	=	\$		
hereby authorized to charge any variance between the amount enclosed and the Patent	☐ Utility Issu ☐ Design Is	\$ \$				
Office charges to Deposit Account No. 02-	☐ Plant Issu	\$				
0375 in the name of Baker Botts L.L.P, The Warner, Suite 1300, 1299 Pennsylvania					\$	
Avenue, N.W., Washington, D.C. 20004-2400.	☐ Petition to	o Revive (unavo	navoidable)			
	☐ Petition to	\$				
FEE CALCULATION	I .	<ul> <li>Petitions Related to Provisional Applications</li> </ul>				
1. BASIC FILING FEE   Large Entity   Small Entity		□ Submission of Information Disclosure \$ Statement				
<u>Fee Paid</u>	☐ Filing Sub	\$				
Utility Filing Fee \$385.00 Design Filing Fee \$	☐ Recordati	\$				
Plant Filing Fee \$	☐ Filing Red	quest for Reexa	Reexamination \$ Fee and Payment for \$		ļ	
Reissue Filing Fee \$ Provisional Filing Fee \$		ublication Fee a d Copies)				
2. EXTRA CLAIMS FEES						
CLAIMS AS	AMENDED					
For Number Present Paid For		Rate arge Entity	e Small Entity	An	nount	
TOTAL CLAIMS 20	0 x		x \$ 9.00	\$0.0	0	
INDEPENDENT CLAIMS 3	0 x	\$ 86.00	x \$ 43.00	\$0.00		
MULTIPLE DEPENDENT CLAIMS	\$ 290.00 \$ 145.0		\$ 145.00	\$0.00		
TOTAL EXTRA CLAIMS FEES					\$0.00	
SUBMITTED BY Complete (if applicable)					ble)	
Typed or Printed Name James B. Arpte		gistration No.				
Signature Date 8/6/04 Deposit Account User ID 02-0375						

PATENT

Attorney Docket No.: .066479.0114

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

lii re Pa	tent Application of:	)	
J. Theo	dore CHERRY.	)	Group Art Unit: 2632
Applica	ation Number: 10/748,688	)	Examiner: Not yet assigned
Filed:	December 31, 2003	)	Confirmation No: 1982
For:	SYSTEMS FOR PREDICTING	)	
	EARTHQUAKES AND METHODS	)	
	OF EMPLOYING SUCH SYSTEMS	)	

# RESPONSE TO NOTICE TO FILE MISSING PARTS AND REQUEST FOR ONE-MONTH EXTENSION OF TIME TO RESPOND

### MAIL STOP MISSING PARTS

Commissioner of Patents U.S. Patent and Trademark Office Washington, D.C. 20231

Sir:

In response to the attached Notice to File Missing Parts of Nonprovisional Application (NTFMP) mailed May 8, 2004, by the U.S. Patent and Trademark Office (PTO), Applicants are enclosing an executed Declaration for Patent Application with an Application Data Sheet, an executed Power of Attorney, the filing fee, the one-month extension fee, and the late filing surcharge.

Applicants are enclosing a check in the amount of \$450.00 to cover the filing fee (\$385.00), one-month extension fee (\$55.00), and the late filing surcharge (\$65.00). Nevertheless, in the event of any variance between the fees determined by Applicants and those

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03 FC:2251

55.00 OP

**PATENT** 

Attorney Docket No.: 066479.0114

determined by the U.S. Patent and Trademark Office, please-charge or credit any such variance

to the undersigned's Deposit Account No. 02-9375

Respectfully submitted.
BAKER BOTTS L.L.P.

By

Dated: August 6, 2004

James B. Arpin

Registration No. 33,470

Baker Botts, L.L.P. The Warner - Suite 1300 1299 Pennsylvania Avenue, N.W. Washington, D.C. 20004-2400

Tel: (202) 639-7700 Fax: (202) 639-7890

JBA/dh Enclosures

DC01:394750.1

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